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CHALLENGES AND STRATEGIES IN MA- NAGING DIFFICULT AI- RWAY IN NEWBORNS: A REVIEW OF EMERGEN- CY PROCEDURES

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Abstract: INTRODUCTION The management of difficult airways in neonates is a significant challenge due to unique anatomical and physiological factors that complicate traditional intubation and airway stabilization techniques. Congenital anomalies, prematurity, and developmental factors contribute to airway obstruction risks, necessitating tailored approaches in emergency settings. While tools such as video laryngoscopy and simulation-based training offer advancements, logistical and financial barriers hinder their universal implementation. The need for specialized devices and pharmacological protocols highlights existing gaps in neonatal airway management research and practices. **OBJETIVE** To evaluate the challenges and strategies involved in managing difficult airways in newborns, focusing on the efficacy of current emergency procedures and interventions in neonatal care. **METHODS** This is a narrative review which included studies in the MEDLINE – PubMed (National Library of Medicine, National Institutes of Health), COCHRANE, EMBASE and Google Scholar databases, using as descriptors: “Neonatal Airway Management” OR “Difficult Airway in Newborns” OR “Emergency Airway Procedures” OR “Neonatal Intubation Techniques” OR “Video Laryngoscopy in Neonates” in the last years. **RESULTS AND DISCUSSION** The results indicate a considerable challenge in neonatal airway management due to anatomical constraints and device limitations. Video laryngoscopy has improved intubation success rates by enhancing visibility, yet variability in its effectiveness and cost-related barriers limit its widespread use. Pharmacological management requires careful dosing tailored to neonates’ sensitive physiology, though limited neonate-specific research complicates drug administration. Simulation-based training has demonstrated benefits in skill retention and procedural confidence, while multidisciplinary

approaches show improved outcomes in complex cases. The use of standardized risk assessment protocols has been advantageous, but universal adoption remains inconsistent. The field continues to advance through collaborative research into specialized devices and emerging technologies. **CONCLUSION** Difficult airway management in neonates requires specialized strategies to address anatomical and physiological challenges unique to this population. While advances in tools and training improve procedural success, barriers such as equipment availability and high costs hinder universal adoption. A continued focus on neonatal-specific research, especially regarding pharmacology and device design, is essential. Implementing standardized protocols and exploring emerging technologies will play a pivotal role in advancing neonatal airway management across diverse healthcare settings.

Keywords: Neonatal airway management; Difficult airway in neonates; Video laryngoscopy; Supraglottic airway devices; Emergency procedures in neonatology.

INTRODUCTION

The management of difficult airway situations in newborns presents a critical challenge in neonatal care, demanding rapid, precise, and well-coordinated intervention to prevent irreversible hypoxic injury or mortality¹. Neonates possess distinct anatomical and physiological characteristics, such as a proportionally larger tongue, narrower airway passages, and relatively high laryngeal positioning, which together exacerbate airway management difficulties in emergency scenarios¹. These structural differences significantly limit the visibility of the glottic opening during intubation, heightening the risk of complications in establishing an adequate airway under urgent conditions¹. Consequently, airway emergencies in neonates require an in-depth

understanding of unique neonatal anatomical features to enhance procedural success and mitigate adverse outcomes².

Neonatal airway complications often stem from congenital anomalies, premature birth, or perinatal trauma, each of which may precipitate acute airway obstruction or ventilation failure². Congenital abnormalities such as tracheoesophageal fistula, Pierre Robin sequence, and laryngeal cleft are known to predispose newborns to difficult airway management, complicating both routine and emergency interventions². The presence of such anomalies complicates pre-procedural planning and necessitates a tailored approach to airway management, as conventional methods may prove ineffective or harmful in neonates with structural deviations². Additionally, neonates with respiratory distress syndrome due to prematurity present another significant challenge, as these infants often require non-invasive support prior to intubation to avoid airway injury³.

The selection and use of appropriate airway devices are pivotal in neonatal airway management, with bag-mask ventilation (BMV), endotracheal intubation, and the laryngeal mask airway (LMA) representing some of the most commonly employed options³. However, device efficacy can vary substantially in neonates, influenced by both anatomical constraints and the infant's developmental maturity³. BMV, for example, can be challenging to administer in neonates with craniofacial anomalies or high airway resistance, and its misuse can lead to gastric insufflation or barotrauma³. Meanwhile, LMAs offer a less invasive alternative, especially useful in neonates with abnormal facial anatomy, yet their use remains limited due to the relatively narrow range of available sizes and the necessity for precise positioning⁴.

The application of video laryngoscopy has emerged as a transformative advancement in neonatal airway management, enabling improved visualization of the glottic opening and potentially enhancing success rates in difficult intubation cases⁴. This technology is especially beneficial in settings where direct laryngoscopy is limited due to restricted glottic exposure, often observed in neonates with large occiputs or craniofacial abnormalities⁴. Despite its advantages, the adoption of video laryngoscopy in neonatal care remains variable across healthcare settings, with many institutions constrained by equipment availability, training requirements, and cost considerations⁵. Thus, there is a growing need to assess the clinical efficacy, accessibility, and cost-effectiveness of this approach in diverse neonatal populations⁵.

In addition to technological advancements, the role of simulation-based training has become increasingly recognized in preparing healthcare providers for high-stakes neonatal airway emergencies⁵. Simulation provides a controlled environment in which clinicians can practice handling difficult airway situations without risking patient safety⁵. Research has demonstrated that simulation training improves skill retention and procedural confidence among healthcare providers, especially in neonatal resuscitation and emergency airway management⁶. This form of training is invaluable for ensuring rapid, coordinated responses during airway crises, although its widespread implementation remains limited due to logistical and financial barriers⁶.

Pre-procedural planning and structured airway assessment protocols are essential components of neonatal airway management, allowing for a proactive approach in identifying and preparing for potential complications⁶. Risk assessment tools, such as the Neonatal Airway Risk Evaluation (NARE), have been proposed to assist clinicians in determi-

ning the likelihood of airway difficulties based on clinical and anatomical markers⁶. Early identification of risk factors, such as craniofacial malformations or respiratory distress syndrome, enables a more tailored approach to airway management, ultimately reducing the likelihood of adverse outcomes during emergency interventions⁷. Nevertheless, these protocols are not yet universally adopted, highlighting a gap in standardized practice across neonatal care units⁷.

Pharmacological support, particularly sedation and muscle relaxants, plays a significant role in facilitating airway interventions in neonates, though their use must be judicious due to the neonate's unique physiology⁷. Over-sedation or incorrect dosing of anesthetic agents can precipitate respiratory depression, compounding the difficulties of an already challenging airway⁷. Consequently, the selection of sedative agents must be highly individualized, taking into account the neonate's weight, gestational age, and underlying health status⁸. Pharmacological protocols for airway management in neonates are often derived from adult or pediatric guidelines, underscoring the need for neonate-specific research to optimize sedation practices⁸.

Multidisciplinary involvement in neonatal airway management is crucial for addressing the complex and often unpredictable nature of these cases⁸. Neonatologists, anesthesiologists, and otolaryngologists must collaborate closely to develop and implement airway management strategies, especially in neonates with congenital anomalies or prematurity-related complications⁸. This collaborative approach ensures comprehensive care and allows for the integration of specialized expertise, which is essential for improving procedural outcomes and minimizing long-term morbidity associated with airway interventions⁹. However, logistical challenges in assembling a multidisciplinary team on short notice can

sometimes hinder timely intervention, particularly in resource-limited settings⁹.

Finally, the limitations in current research on neonatal difficult airway management underscore the necessity for continued investigation into optimized techniques, equipment, and protocols⁹. While significant progress has been made, many facets of neonatal airway management remain inadequately explored, particularly with regard to individualized device selection and pharmacological support in specific neonatal subpopulations⁹. Developing evidence-based, standardized protocols that address the unique requirements of neonatal airway management is paramount to enhancing outcomes and reducing the variability in care provided across different clinical settings¹⁰.

OBJECTIVES

To evaluate the challenges and strategies involved in managing difficult airways in newborns, focusing on the efficacy of current emergency procedures and interventions in neonatal care.

SECONDARY OBJECTIVES

1. To analyze the impact of neonatal anatomical differences on airway management outcomes.
2. To examine the effectiveness of video laryngoscopy and other emerging technologies in neonatal airway management.
3. To assess the role of simulation-based training and multidisciplinary approaches in improving neonatal airway intervention success.
4. To evaluate the challenges and limitations of existing airway management devices for neonates.
5. To identify gaps in research and suggest areas for future studies in neonatal airway management.

METHODS

This is a narrative review, in which the main aspects of managing difficult airways in newborns, focusing on the efficacy of current emergency procedures and interventions in neonatal care in recent years were analyzed. The beginning of the study was carried out with theoretical training using the following databases: PubMed, sciELO and Medline, using as descriptors: “Neonatal Airway Management” OR “Difficult Airway in Newborns” OR “Emergency Airway Procedures” OR “Neonatal Intubation Techniques” OR “Video Laryngoscopy in Neonates” in the last years. As it is a narrative review, this study does not have any risks.

Databases: This review included studies in the MEDLINE – PubMed (National Library of Medicine, National Institutes of Health), COCHRANE, EMBASE and Google Scholar databases.

The inclusion criteria applied in the analytical review were human intervention studies, experimental studies, cohort studies, case-control studies, cross-sectional studies and literature reviews, editorials, case reports, and poster presentations. Also, only studies writing in English and Portuguese were included.

RESULTS AND DISCUSSION

The findings on neonatal airway management reveal a consistent challenge in securing a stable airway in this patient population, predominantly due to anatomical limitations and the critical need for rapid intervention¹¹. Several studies emphasize that neonatal airway structures, such as the high positioning of the larynx and relatively larger tongue, significantly complicate conventional intubation methods¹¹. A key observation across multiple studies is that these anatomical differences not only impede visibility during intubation attempts but also increase the risk of trauma to the soft airway tissues if intubation is de-

layed or unsuccessful¹¹. Consequently, maintaining proficiency in alternative airway techniques, including supraglottic airway devices and fiberoptic intubation, has proven essential in cases where direct visualization of the vocal cords is impaired¹². For neonates with pre-existing craniofacial abnormalities, the success rate of traditional endotracheal intubation declines sharply, underscoring the necessity of specialized training and equipment for managing difficult neonatal airways¹².

The introduction of video laryngoscopy has shown promise in overcoming some of these anatomical constraints, providing improved visualization and potentially increasing intubation success rates in neonates¹². Studies have demonstrated that video laryngoscopy enables clearer views of the glottic opening, thus facilitating a more controlled and efficient intubation process, especially in neonates with challenging anatomy¹³. However, there remains variability in the efficacy of this approach, as its success is often dependent on the clinician's experience with the device and the specific anatomical considerations of each neonate¹³. In scenarios involving extreme anatomical deviations, video laryngoscopy alone may not suffice, necessitating the combination of various techniques, including flexible bronchoscopy, to establish a secure airway¹³. Despite the clinical benefits associated with video laryngoscopy, its high cost and limited availability in resource-strapped institutions present substantial barriers to its widespread implementation¹⁴.

In the context of pharmacological support, the administration of sedative and anesthetic agents requires careful titration to avoid respiratory depression and hemodynamic instability¹⁴. Several studies indicate that neonates are particularly sensitive to standard doses of sedatives used in pediatric populations, necessitating adjustments to prevent adverse outcomes¹⁵. Research supports the use of

agents such as fentanyl and ketamine in low, calculated doses to provide adequate sedation without compromising respiratory function; however, these findings also underscore the need for individualized dosing regimens tailored to the neonate's gestational age and clinical condition¹⁵. Unfortunately, limited data exist on optimal pharmacological strategies specifically designed for neonates, which highlights a critical gap in current airway management protocols¹⁶. Furthermore, in emergency settings, achieving precise dosing can be difficult, as rapid titration may be required to stabilize the neonate's airway in life-threatening situations¹⁶.

Simulation-based training for difficult airway scenarios has been linked to improved provider confidence and procedural success rates in neonatal care¹⁷. By creating a risk-free environment, simulation training enables clinicians to hone their skills in neonatal airway management, allowing them to practice complex procedures and make real-time adjustments to their techniques¹⁷. Evidence suggests that repeated simulation exposure enhances clinicians' ability to manage high-stakes scenarios, such as failed intubation or airway obstruction, thereby reducing the likelihood of adverse events in actual patient settings¹⁸. While simulation has proven beneficial, its implementation remains inconsistent across healthcare facilities due to the associated costs and logistical demands, especially in lower-resource NICUs¹⁸. This disparity emphasizes the need for broader access to simulation training to ensure that all providers, regardless of institutional resources, are equipped to handle challenging airway cases in neonates¹⁹.

Another key finding relates to the role of multidisciplinary collaboration in neonatal airway management, particularly for neonates with complex medical conditions that predispose them to airway difficulties¹⁹. Research indicates that involving specialists

from neonatology, anesthesiology, and otolaryngology is beneficial in developing comprehensive airway management plans tailored to individual neonates with congenital anomalies or high-risk conditions¹⁹. In practice, however, assembling a multidisciplinary team can be challenging, especially in urgent scenarios where time is critical, and specialized personnel may not be immediately available²⁰. To address this, institutions have begun to implement rapid-response protocols that streamline communication and coordination among team members during neonatal airway emergencies²⁰. These protocols ensure that all necessary resources are mobilized quickly, reducing delays and improving the likelihood of successful intervention²¹.

Studies on device selection and airway management protocols reveal that conventional airway equipment often falls short in neonatal cases, requiring innovative adaptations to ensure procedural success²¹. Supraglottic airway devices, such as the neonatal-sized laryngeal mask airway, provide an alternative to intubation when airway obstruction limits endotracheal access²². These devices are particularly advantageous in neonates with craniofacial abnormalities or severe respiratory distress, where the risk of failed intubation is high²². However, limitations in the availability of appropriately sized supraglottic devices and the need for precise placement highlight the ongoing challenges of implementing these tools effectively in neonatal care²³. Furthermore, as neonates transition from non-invasive to invasive support, the choice of device must be made carefully to avoid complications such as pneumothorax or gastric insufflation, which are more prevalent in this age group²³.

The literature also underscores the impact of early and structured airway assessments, which play a pivotal role in identifying neonates at risk of difficult airway management²⁴. Standardized risk assessment tools, such as

the Neonatal Airway Risk Evaluation, help clinicians recognize and categorize potential complications prior to intervention²⁴. Early identification of risk factors, such as macroglossia or mandibular hypoplasia, enables healthcare providers to select the most appropriate airway management strategy and reduce the likelihood of adverse outcomes²⁵. Despite the effectiveness of these protocols, their use is not yet universal, resulting in variable adherence and inconsistent outcomes across healthcare settings²⁵. This disparity highlights the need for universal adoption of structured airway assessments as part of neonatal care standards²⁶.

Finally, the high incidence of airway-related complications in neonates reflects a pressing need for continued research into novel management techniques and optimized device designs tailored to neonatal anatomy²⁶. Although significant strides have been made in developing equipment and protocols specific to neonates, there is still a considerable gap in addressing the unique challenges posed by this vulnerable population²⁷. As the field advances, ongoing collaboration between researchers, clinicians, and medical device manufacturers will be essential to improve the safety and effectiveness of airway management in neonatal populations²⁸. Emerging technologies, such as automated airway management systems and artificial intelligence-guided decision-making tools, offer promising avenues for enhancing neonatal airway care²⁸. However, further validation is needed to ensure these innovations are both effective and accessible across diverse clinical environments²⁹.

CONCLUSION

In summary, managing difficult airways in neonates is fraught with unique challenges stemming from anatomical differences, limited device options, and the high sensitivity of newborns to pharmacological interventions. Neonatal airway management demands a specialized approach, particularly in cases involving congenital anomalies or prematurity, as conventional methods may prove inadequate. The adoption of video laryngoscopy and simulation-based training has shown potential in improving clinical outcomes, though barriers such as high costs and accessibility issues persist. Multidisciplinary collaboration further enhances the success of neonatal airway management, yet logistical constraints can impede timely intervention in some settings.

There remains an evident need for neonatal-specific research to refine pharmacological protocols and develop equipment tailored to the nuances of neonatal airway anatomy. Structured airway assessments and risk stratification protocols should become standard practice to proactively identify neonates at risk for airway complications. The use of specialized devices, including supraglottic airways and video-assisted tools, has been beneficial, though limitations in availability and size compatibility highlight ongoing challenges.

The future of neonatal airway management will likely benefit from emerging technologies, such as automated devices and AI-supported protocols, which may streamline intervention processes and reduce variability in clinical outcomes. However, for widespread benefit, these innovations must be validated across diverse healthcare settings and made accessible to institutions with limited resources. Continued efforts toward standardization and collaborative research are critical in advancing neonatal airway management, ultimately enhancing the care provided to this vulnerable patient population.

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