

# Journal of Engineering Research

## UIB-AILS: A MODEL FOR AUTONOMOUS INDUCTIVE LEARNING SYSTEMS

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Acceptance date: 20/09/2024



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**Abstract.** Systems that learn autonomously constitute a relevant exponent within the category of intelligent systems. Such systems are characterized by the ability to update their decision/action hypothesis over time without any external intervention. Such capacity for updating is based on some main considerations, such as: the experiences accumulated by the system, the acquisition of new knowledge from the environment, the general structural characteristics of the hypothesis and the criterion specifying the particular properties that the hypothesis must satisfy. The design of an autonomous inductive learning system – AILS – is a complex task. This work presents a general model for the design of AILSs, whose components can be customized according to the nature of the problem in hand, so that the model is suitable for addressing the design of a variety of AILS with different peculiarities. Such a model adopts a cyclic evolutionary configuration that includes, among others, components to deal with data imprecision, techniques to handle the vagueness of decisions/actions, and methods to process in a unified way knowledge coming from different levels of abstraction, such as raw data and logic expressions.

**Keywords:** Evolutionary Inductive Learning Systems, Knowledge Acquisition, Qualitative Knowledge.

## INTRODUCTION

Evolutionary learning is the process by which a system/agent improves its function over time [1]. In this way, a system/agent is said to learn if its behavior over time is consistent with the proposed objectives. Two important issues for an agent to be able to learn over time refer to: the agent's ability to reason about the knowledge provided by the environment and the experiences accumulated by the agent over time.

The design of autonomous inductive learning systems from classified examples is a complex task, since the issues affecting the learning process are numerous and some of them difficult to solve. In the literature there are hardly any models that cover a design of this type. Many of the published models simply cover the design of inductive reasoning systems, but they are not focused on the task of true inductive learning. In fact, the concepts of reasoning and learning are often confused.

This paper presents a model for autonomous evolutionary learning from examples and accumulated experiences by the system/agent up to that point. The learning process has no time limits, that is, it is a permanently open process of improving the performance of the system/agent. Thus, the decision/action capacity of the system/agent at a given time depends solely on the knowledge provided by the environment – examples – and the experiences accumulated by the agent. Regarding knowledge processing, it should be emphasized that the proposed model is based on inductive-deductive reasoning [2], since it works simultaneously on specific knowledge structures – the examples from the environment – and on abstract structures that give support to the decision/action hypothesis and the experiences – decision trees, logical expressions, graphs, neural networks, etc. – in order to improve the decision/action hypothesis. The components of the inductive model presented in this work are briefly described below.

The external *environment* is the device that provides external knowledge to the agent. In the particular case that concerns us, this knowledge is expressed in terms of concrete examples about some concept. It is specific knowledge that can include precise and imprecise data. In [2, 3, 4] it has been proved that imprecise data can provide useful

qualitative knowledge for the decision/action hypothesis of the system/agent.

The *decision/action hypothesis*, also known as the *knowledge base*, contains the agent's knowledge about the environment – that is, the agent's interpretation of the environment. It has an abstract – intentional – format, which facilitates the system/agent's deductive reasoning in achieving its objectives. The decision/action hypothesis is updated over time.

The central core of the model, called *knowledge acquisition device*, is responsible for simultaneously processing specific knowledge from the environment and abstract knowledge from the hypothesis and experiences of the system/agent. The knowledge acquired is abstract and constitutes the current hypothesis or knowledge base. This device constitutes the inductive-deductive stage of the model.

The *fact base* stores the perceptions that the agent receives from the environment at each instant in time. Perceptions are used as facts by the process of deductive reasoning – that is, the action–decision device – from the knowledge base. Perceptions are described by specific knowledge.

Based on the perceptions of the fact base, the *decision–action device* is responsible for reasoning deductively from the knowledge base. The results of this reasoning process are the decisions/actions that the agent will adopt.

The *execution–verification device* is responsible, on the one hand, for executing the decisions/actions deduced by the decision–action device and, on the other hand, for verifying their quality. The results of this checking process will constitute the system/agent's experiences.

The *feedback stage* determines the cyclical nature of the model. It is based on the knowledge provided by the experiences about the decisions/actions taken. This knowledge is the result of the execution–verification device.

The main components of the model are described in more detail in the following sections.

## AN AUTONOMOUS INDUCTIVE LEARNING SYSTEM MODEL

This section presents a model for autonomous inductive learning from classified examples. This model is an extension of the simple model described in [1]. Figure 1 illustrates such model.

From Figure 1 it can be seen that this is a cyclic model, where the knowledge base and the system experiences provide feedback to the model, in particular to the knowledge acquisition device.

The model consists of the six devices that have been briefly described in the previous section, and the feedback stage. In order to clarify the exposition of this work, two types of feedback are distinguished in Figure 1:

- *Feedback 1* is the simplest, as it only considers the knowledge base as the knowledge element from which the knowledge acquisition device receives feedback – note in Figure 1 that the experiences of the system/agent have not been considered as feedback elements. It is important to emphasize again that the knowledge base is expressed in an abstract format.
- *Feedback 2* is the complete feedback, as discussed in the previous section, covering the knowledge base and the system/agent's experiences, both expressed in an abstract format.

This is an evolutionary and incremental model that works by cycles, so that each cycle defines a new stage of the system/agent. Each cycle must necessarily lead to an improvement of the knowledge base. Each cycle is referred to as a *learning cycle*.

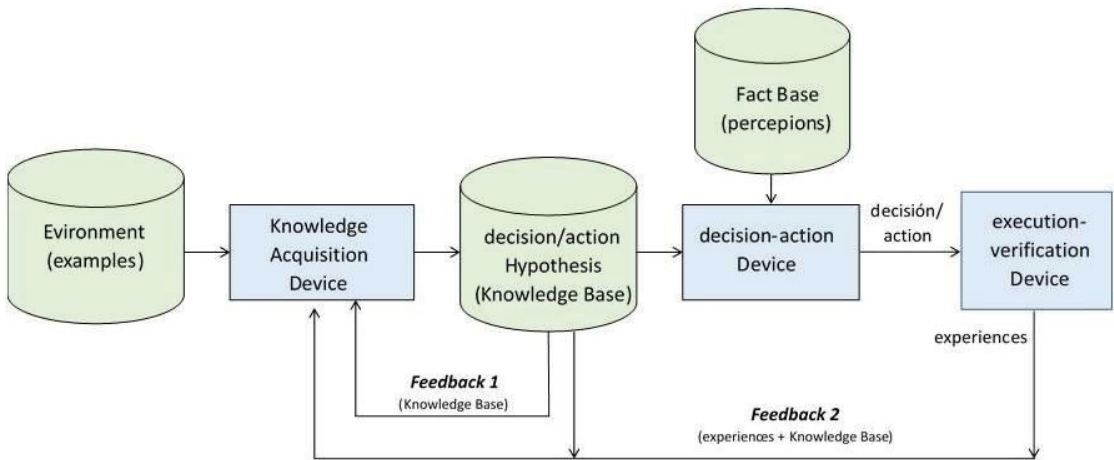


Fig. 1. Model for autonomous inductive learning from classified examples.

The number of cycles of the system/agent is limited by the number of examples and experiences over time that the environment and the execution-verification device are able to provide to the model. That is, as long as the environment and/or the execution-verification device provide examples/experiences to the model, the system/agent will process them in order to improve the knowledge base. The knowledge base is updated at each learning cycle, according to the examples and the experiences generated up to the given cycle. This model is very useful in complex environments, where the scope of the number of examples is not known. In this way, the learning process of the system/agent can work indefinitely.

## THE ENVIRONMENT

Also referred to as Object-Attribute Table -abbreviated OAT-, it constitutes the external input knowledge of the knowledge acquisition device [2, 5, 6]. Such knowledge is expressed in the form of examples or concrete portions of knowledge about some concept. The notion of OAT is referred to as Information System by other authors [7], however there are relevant differences between both concepts. Next the concept of OAT is formally described.

An OAT consists of a set of classified examples and a set of attributes in terms of which the examples are described. An OAT is said to be completely specified if the values of the examples with respect to each of the attributes are known and fully specified [2, 8]. On the other hand, an OAT is incompletely specified if some of the attribute values are not completely specified, or in the extreme case, they are completely unknown [3, 4].

Completely and incompletely specified OATs are processed in a unified way by the knowledge acquisition device, that is, there is no need to distinguish between both types of OAT. The concept of OAT is formally defined below.

Definition 1 (representing attribute values). The value of an attribute  $r_j$  in a specific situation  $d_i$  can be represented by a subset  $V_{d_i,r_j}$ ,  $V_{d_i,r_j} = \{v_{j1}^i, v_{j2}^i, \dots, v_{jp}^i\}$ , being  $v_{j1}^i, v_{j2}^i, \dots, v_{jp}^i$  the different simple values adopted by  $r_j$  in the situation  $d_i$ . If the number of values of  $V_{d_i,r_j}$  is greater than 1, that is  $\#V_{d_i,r_j} > 1$  -where the symbol  $\#$  represents the cardinal of  $V_{d_i,r_j}$ -, then  $r_j$  is said to be an incompletely specified/vague attribute. If  $\#V_{d_i,r_j} = 1$  then  $r_j$  is a completely specified attribute.  $\#V_{d_i,r_j}$  can never be less than 1. If  $V_{d_i,r_j} = \{v_{j1}^i, v_{j2}^i, \dots, v_{jp}^i\}$  is a vague value of attribute  $r_j$  -that is,  $\#(V_{d_i,r_j}) > 1$ - in the specific situation  $d_i$ , then  $V_{d_i,r_j}$  will also be represented by the symbol  $*_{j1,j2,\dots,jp}$ .

According to definition 1, let us note that if an attribute  $r_j$  is vague in any specific situation  $d_i$ , then  $r_j$  is definitely vague - that is,  $r_j$  is considered simply a vague attribute -. If there is no specific situation  $d_i$  in which  $r_j$  is vague, then  $r_j$  is definitely a fully specified attribute.

**Definition 2 (domain of an attribute).** The domain  $V_i$  of the attribute  $r_i$  covers the values that the attribute can take in all specific situations observed up to the current time. This means that:

- Since  $V_i$  is made up of values of  $r_i$ , then  $V_i$  is a set of subsets, since, according to definition 1, each value is represented by a set.
- As  $V_i$  is made up of the values of  $r_i$  observed up to “the current time”, then  $V_i$  may evolve over time, since new values of  $r_i$  will possibly be observed in the future.

**Definition 3 (Object Attribute Table).** OAT=  $\langle D, R, W, V, V', F, H, Q, C, f \rangle$ , where:

$D = \{d_1, d_2, \dots, d_m\}$  is a set of examples or concrete portions of knowledge.

$R = \{r_1, r_2, \dots, r_n\}$  is a set of qualities or attributes in terms of which examples of  $D$  are described.

$W = \{W_1, W_2, \dots, W_n\}$  is A set of domains of simple values of the attributes,  $r_i, r_i \in R, i=1\dots n$ , being,  $W_j, W_j \in W$ , the domain of simple values of attribute  $r_j$  in the OAT. A simple domain is made up of all possible simple values of attributes.

$V = \{V_1, V_2, \dots, V_n\}$  is a set consisting of the domains of each attribute,  $r_i, r_i \in R, i=1\dots n$ , being,  $V_j = \{v_{1,r_j}, v_{2,r_j}, \dots, v_{p,r_j}\}, V_j \in V$ , the domain of attribute  $r_j$  in the OAT. Note that the values of a domain  $V_i$  are all different and will take the form  $v_{k,r_i}, v_{k,r_i} \in V_i$ . According to definition 1, each value  $v_{k,r_i} \in V_i$  is a subset of simple values of  $W_i$ .

$C$  is a set of concepts,  $C = \{C_1, C_2, \dots, C_w\}$ . Each  $C_i, i=1\dots w$ , represents a concept  $f$  is a function that assigns to each element of  $D$  its corresponding concepts, that is,  $f: D \rightarrow \Pi(C)$ ,

where  $\Pi(C)$  denotes the set of parts of  $C$ . Note that each example,  $d_i \in D$ , has a subset of concepts associated.

$V', V' = \{V'_1, V'_2, \dots, V'_n, V'_{1,2}, \dots, V'_{ij,\dots,k}, \dots, V'_{1,2,\dots,n}\}$ , represents the set of domains of all attribute-value tuples of the OAT corresponding to all attribute subsets of  $R$ . That is,  $V'_{ij,\dots,k}$ , with  $i=1\dots n, j=1\dots n, \dots, k=1\dots n, i \neq j \dots \neq k$ , represents the domain of attribute-value tuples of the OAT corresponding to the attribute subset  $\{r_i, r_j, \dots, r_k\}$ . Note how set  $V'$  constitutes an extension of  $V$  to all attribute subsets of the OAT. Set  $V'$  contains  $2^n - 1$  elements - that is, domains of attribute-value tuples.

$F = \{f_1, f_2, \dots, f_n\}, f_i : D \times \{r_i\} \rightarrow V_i, i=1\dots n$ , is a set of functions that define the values adopted by the elements of  $D$  for each attribute  $r_i, i = 1, 2, \dots, n$ .

$H = \{h_1, h_2, \dots, h_n\}$  is a set of functions, one for each attribute  $r_i$  of the OAT, such that  $h_j, j=1\dots n$ , associates the corresponding subset of concepts to each simple value  $v_{jk}, v_{jk} \in v_{w,r_j}, v_{w,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jp}\}, v_{w,r_j} \in V_j$ . That is,  $h_j: W_j \rightarrow \Pi(C)$ , so that  $h_j(v_{jk}) = f(d_1) \cup f(d_2) \cup \dots \cup f(d_m)$  for all  $d_s, s=1\dots m$ , such that  $v_{jk} \in f(d_s, r_j)$ .

$Q = \{q_1, q_2, \dots, q_n\}$  is a set of functions, one for each attribute  $r_i$  of the OAT. Let  $v_{k,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jp}\}, v_{k,r_j} \in V_j$ , be a value of attribute  $r_j$ , where  $v_{jk}, k=1\dots p$ , are its simple values. Functions of set  $Q$  take the form  $q_j: V_j \rightarrow \Pi(\Pi(C))$ , so that each  $q_j, j=1\dots n$ , associates the corresponding set of subsets of concepts of  $C$  to each different value  $v_{k,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jp}\}, v_{k,r_j} \in V_j$ , being  $q_j(v_{k,r_j}) = \{h_j(v_{j1}), h_j(v_{j2}), \dots, h_j(v_{jp})\}$ . Note that expression  $\{h_j(v_{j1}), h_j(v_{j2}), \dots, h_j(v_{jp})\}$  represents a set of subsets of concepts, one for each simple value,  $v_{jk}, v_{jk} \in v_{k,r_j}$ . It is important to emphasize that the sets defined by the functions  $q_j(v_{k,r_j}) = \{h_j(v_{j1}), h_j(v_{j2}), \dots, h_j(v_{jp})\}$  may contain repeated elements -that is, repeated subsets of concepts of  $C$ -. To avoid confusion in practice, it is important to define an order relationship between the subsets defined by the functions  $q_j(v_{k,r_j})$ .

Figure 2 illustrates graphically an example of an OAT.

Example. Consider the OAT of Figure 2. According to definitions 1, 2 and 3, we have:

$D = \{d_1, d_2, d_3, d_4, d_5, d_6, d_7\}$  is a set of examples.

$R = \{r_1, r_2, r_3, r_4\}$  is the set of attributes in terms of which the examples are described.

$C = \{C_a, C_b, C_d\}$  is the set of concepts.

The right column of the table, represented by the symbol  $\Pi(C)$ , represents the concepts of  $C$  associated with each element  $d_i \in D$ .

D\R	r <sub>1</sub>	r <sub>2</sub>	r <sub>3</sub>	r <sub>4</sub>	Π(C)
d <sub>1</sub>	{0}	{red}	{1}	{0}	{C <sub>a</sub> }
d <sub>2</sub>	{0}	{green}	{0}	{1}	{C <sub>b</sub> }
d <sub>3</sub>	{* <sup>1</sup> <sub>0,1</sub> }	{red}	{0}	{1}	{C <sub>b</sub> }
d <sub>4</sub>	{0}	{blue}	{0}	{* <sup>4</sup> <sub>0,1</sub> }	{C <sub>d</sub> }
d <sub>5</sub>	{1}	{blue}	{2}	{0}	{C <sub>a</sub> }
d <sub>6</sub>	{0}	{red}	{* <sup>3</sup> <sub>0,1,2</sub> }	{1}	{C <sub>b</sub> }
d <sub>7</sub>	{1}	{blue}	{1}	{1}	{C <sub>a</sub> }

Fig. 2. Example of an OAT.

$V_{d_i, r_k}, V_{d_i, r_k} \in V_i, i=1...m. k=1...n$ , are the values of the examples for each attribute. These values are actually subsets of values -see definition 1-, so they are enclosed in parentheses. Note how element  $d_3$  takes, with respect to attribute  $r_1$ , the value  $V_{d_3, r_1} = \{*\sup_1\sub{0,1}\}$ , which can also be represented as  $V_{d_3, r_1} = \{0, 1\}$ . Such a value is a vague value, since  $\#V_{d_3, r_1} > 1$ . The same occurs with values  $V_{d_6, r_3} = \{0, 1, 2\}$  and  $V_{d_4, r_4} = \{0, 1\}$ . The rest of values in the OAT are fully specified.

$W = \{W_1, W_2, \dots, W_n\}$  is a set of domains of simple values of the attributes,  $r_i, r_i \in R, i=1...n$ . Thus,  $W_1 = \{0, 1\}, W_2 = \{\text{red, green, blue}\}, W_3 = \{0, 1, 2\}, W_4 = \{0, 1\}$ .

$V = \{V_1, V_2, V_3, V_4\}$ , represents the set of attribute domains of  $R$ , where  $V_i$  is the domain of attribute  $r_i$ . Thus, we have:  $V_1 = \{\{0\}, \{1\}, \{*\sup_1\sub{0,1}\}\} = \{\{0\}, \{1\}, \{0,1\}\}, V_2 = \{\{\text{red}\}, \{\text{green}\}, \{\text{blue}\}\}, V_3 = \{\{0\}, \{1\}, \{2\}, \{*\sup_3\sub{0,1,2}\}\} = \{\{0\}, \{1\}, \{2\}, \{0,1,2\}\}, V_4 = \{\{0\}, \{1\}, \{*\sup_4\sub{0,1}\}\} = \{\{0\}, \{1\}, \{0,1\}\}.$

$V' = \{V'_1, V'_2, V'_3, V'_4, V'_{1,2}, V'_{1,3}, V'_{1,4}, V'_{2,3}, V'_{2,4}, V'_{3,4}, V'_{1,2,3}, V'_{1,2,4}, V'_{1,3,4}, V'_{2,3,4}, V'_{1,2,3,4}\}$ , represents the set of domains of attribute-value tuples of the OAT, for all attribute subsets of  $R$ . Some examples of such domains are:

$$V'_1 = \{\langle 0 \rangle, \langle 1 \rangle, \langle *\sup_1\sub{0,1} \rangle\},$$

$$V'_{1,2} = \{\langle 0, \text{red} \rangle, \langle 0, \text{green} \rangle, \langle *\sup_1\sub{0,1}, \text{red} \rangle, \langle 0, \text{blue} \rangle, \langle 1, \text{blue} \rangle\},$$

$$V'_{2,3,4} = \{\langle \text{red}, 1, 0 \rangle, \langle \text{green}, 0, 1 \rangle, \langle \text{red}, 0, 1 \rangle, \langle \text{blue}, 0, *\sup_4\sub{0,1} \rangle, \langle \text{blue}, 2, 0 \rangle, \langle \text{red}, *\sup_3\sub{0,1,2}, 1 \rangle, \langle \text{blue}, 1, 1 \rangle\}.$$

$F = \{f_1, f_2, f_3, f_4\}$  is a set of functions that define the values adopted by the elements of  $D$  for each attribute  $r_i$ . Examples:

$$f_1(d_1, r_1) = \{0\}, f_1(d_2, r_1) = \{0\}, f_1(d_3, r_1) = \{*\sup_1\sub{0,1}\};$$

$$f_2(d_1, r_2) = \{\text{red}\}; f_2(d_2, r_2) = \{\text{green}\}; f_2(d_3, r_2) = \{\text{red}\}.$$

$H = \{h_1, h_2, \dots, h_n\}$  is a set of functions  $h_i, h_i: W_j \rightarrow \Pi(C)$ , one for each attribute  $r_i$  of the OAT, such that  $h_j, j=1..n$ , associates the corresponding set concepts of  $C$  to each simple value  $v_{jk}, v_{jk} \in v_{w,r_j}, v_{w,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jp}\}, v_{w,r_j} \in V_j$ , so that  $h_j(v_{jk}) = f(d_1) \cup f(d_2) \cup \dots \cup f(d_m)$  for all  $d_s, s=1..m$ , such that  $v_{jk} \in f_j(d_s, r_j)$ .

Examples:

Let us consider attribute  $r_1$ .  $W_1 = \{0, 1\}$ . Then  $h_1(0) = \{C_a\} \cup \{C_b\} \cup \{C_b\} \cup \{C_d\} \cup \{C_b\} = \{C_a, C_b, C_d\}; h_1(1) = \{C_b\} \cup \{C_a\} \cup \{C_a\} = \{C_a, C_b\}$ .

Let now consider attribute  $r_3$ .  $W_3 = \{0, 1, 2\}$ . Then  $h_3(0) = \{C_b\} \cup \{C_b\} \cup \{C_d\} \cup \{C_b\} = \{C_b, C_d\}; h_3(1) = \{C_a\} \cup \{C_b\} \cup \{C_a\} = \{C_a, C_b\}; h_3(2) = \{C_a\} \cup \{C_b\} = \{C_a, C_b\}$ .

$Q = \{q_1, q_2, \dots, q_n\}$  is a set of functions  $q_j: V_j \rightarrow \Pi(\Pi(C))$ , one for each attribute  $r_i$  of the OAT, so that each  $q_j, j=1..n$ , associates the corresponding set of subsets of concepts of  $C$  to each different value  $v_{k,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jp}\}, v_{k,r_j} \in V_j$ , being  $q_j(v_{k,r_j}) = \{h_j(v_{j1}), h_j(v_{j2}), \dots, h_j(v_{jp})\}$ .

Examples:

Consider domain  $V_1 = \{\{0\}, \{1\}, \{0,1\}\}$  of attribute  $r_1$ . Then  $q_1(\{0\}) = \{h_1(0)\} = \{C_a, C_b, C_d\}; q_1(\{1\}) = \{h_1(1)\} = \{C_a, C_b\}; q_1(\{0,1\}) = \{h_1(0), h_1(1)\} = \{\{C_a, C_b, C_d\}, \{C_a, C_b\}\}.$

Let now consider domain  $V_3 = \{\{0\}, \{1\}, \{2\}, \{0,1,2\}\}$  of attribute  $r_3$ . Then  $q_3\{\{0\}\} = \{h_3(0)\} = \{C_b, C_d\}$ ;  $q_3\{\{1\}\} = \{h_3(1)\} = \{C_a, C_b\}$ ;  $q_3\{\{2\}\} = \{h_3(2)\} = \{C_a, C_b\}$ ;  $q_3\{\{0,1,2\}\} = \{h_3(0), h_3(1), h_3(2)\} = \{\{C_b, C_d\}, \{C_a, C_b\}, \{C_a, C_b\}\}$ .

Due to reasons of space and clarity in the presentation of concepts, the OAT described in this section has been formulated only for attributes with discrete domains. An extension for the case of OAT with continuous attributes is presented in [4].

## THE KNOWLEDGE ACQUISITION DEVICE

The knowledge acquisition device -abbreviated KAD- is responsible for updating the knowledge base -abbreviated KB- in each learning cycle of the system/agent, so that the resulting KB is the one that best fits the established criterion. This criterion depends on the nature of the problem being solved and specifies the characteristics that the KB must satisfy.

Let us first consider the Feedback-1 of the learning model in Figure 1. The key to updating the KB is to design a qualitative knowledge acquisition method from new examples coming from the Environment and from the KB, in order to improve the functionality of the KB itself. If we now consider Feedback-2 from Figure 1, then the qualitative knowledge acquisition method just mentioned must consider, in addition to new examples from the environment and the KB, the experiences of the system/agent. From the just mentioned considerations in this paragraph, we can say that the referred qualitative knowledge acquisition method constitutes the core of KAD.

Due to space restrictions and in order to clarify the discussion, only the learning model based on Feedback-1 will be presented in this section.

For practical reasons, the decision tree structure has been considered as the format to support the knowledge base -KB-. A decision tree can be interpreted as a set of antecedent-consequent rules, such that each branch of the tree from the root to a leaf node constitutes a rule. In turn, each example from the Environment can also be seen as an antecedent-consequent rule. This is the first step: converting all the input knowledge to the KAD -examples and KB- into the same abstract format -antecedent-consequent rules-, which requires an appropriate conversion process. The next step is to reason about the resulting set of antecedent-consequent rules, in order to modify the KB, which adopts a decision tree format. This is a process of extraction of qualitative knowledge from a set of rules in order to obtain a decision tree. Such a process must necessarily be guided by a criterion which defines the characteristics that the final decision tree must satisfy.

The most relevant issues of the above two-step process carried out by the KAD will be described below.

## INDUCTIVE INFERENCE ON AN OAT: ATTRIBUTE BASES

The selection of an «adequate» subset of attributes,  $R_x$ ,  $R_x \subseteq R$ , to intensively describe the subsets or concepts of  $C$  in an OAT, constitutes one of the main stages of the inductive inference process. The term «adequate» means that the attributes of  $R_x$  must allow to describe the concepts of  $C$  according to the characteristics of the criterion considered. Such characteristics, independently of the nature of the particular problem, must guarantee, in any case, an accurate description of the concepts, that is, free of confusions or contradictions.

Any subset of attributes  $R_x$ ,  $R_x \subseteq R$ , that guarantees a confusion-free description of the concepts of  $C$  is called an attribute basis of  $R$

with respect to C. Much of the computational effort of an inductive process will focus on the search for some “adequate” attribute basis, particularly when it comes to getting an optimal basis. Complete documentation on the concept of attribute basis can be found in [2, 3, 4, 5, 8].

The following provides a formal definition of the concept of attribute basis.

**Definition 4 (couples of partially common values).** Consider a given OAT. Let  $v_{k,r_j}, v_{s,r_j} \in V_j$ ,  $k \neq s$ , be two values in the domain of the same attribute  $r_j$ . If it holds that  $v_{k,r_j} \cap v_{s,r_j} \neq \emptyset$ , then  $v_{k,r_j}$  and  $v_{s,r_j}$  are said to be a couple of partially common values. Note that partially common values are defined on the same domain.

**Definition 5 (contradictory individual values).** Consider a given OAT. Let  $r_j \in R$  be an attribute of R with domain  $V_j$ . Let  $v_{k,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jw}\}$  be a value in the domain  $V_j$ ,  $v_{k,r_j} \in V_j$ .  $v_{k,r_j}$  is said to be a contradictory value if and only if there exists some  $d_r \in D$ ,  $f_j(d_r, r_j) \cap v_{k,r_j} \neq \emptyset$ , such that  $f(d_r) \subset h_j(v_{js})$ ,  $s=1..w$ , for any simple value  $v_{js} \in v_{k,r_j}$ ,  $v_{js} \in f_j(d_r, r_j) \cap v_{k,r_j}$  -Note that the symbol  $\subset$  refers to the strict inclusion of subsets -.

**Definition 6 (couples of contradictory values).** Consider a given OAT. Let  $r_j \in R$  be an attribute of R with domain  $V_j$ . Let  $v_{k,r_j} = \{v_{j1}, v_{j2}, \dots, v_{jw}\}$  and  $v_{p,r_j} = \{v'_{j1}, v'_{j2}, \dots, v'_{js}\}$  be two values in the domain  $V_j$ ,  $v_{k,r_j} \in V_j$ ,  $v_{p,r_j} \in V_j$ , such that  $v_{k,r_j} \cap v_{p,r_j} \neq \emptyset$ . Let  $d_a \in D$  and  $d_b \in D$ ,  $a \neq b$ , be such that  $f_j(d_a, r_j) = v_{k,r_j}$  and  $f_j(d_b, r_j) = v_{p,r_j}$ . If  $f(d_a) \neq f(d_b)$  then the couple  $(v_{k,r_j}, v_{p,r_j})$  is said to be a couple of contradictory values. In the case that  $v_{k,r_j} = v_{p,r_j}$ , then  $v_{k,r_j} / v_{p,r_j}$  are individual contradictory values -see definition 5-.

**Definition 7 (couples of contradictory attribute-value tuples).** Consider a given OAT. Let  $e_a = \langle V_{d_v, r_1}, V_{d_v, r_2}, \dots, V_{d_v, r_k} \rangle$ ,  $e_a \in V'_{i_j, \dots, k}$ ,  $V_{d_v, r_s} \in V_s$ ,  $s=i, j, \dots, k$ , and  $e_b = \langle V_{d_w, r_1}, V_{d_w, r_2}, \dots, V_{d_w, r_k} \rangle$ ,  $e_b \in V'_{i_j, \dots, k}$ ,  $V_{d_w, r_p} \in V_p$ ,  $p=i, j, \dots, k$ ,  $v \neq w$ ,  $v, w = 1 \dots m$ , be a couple of two attribute-value tuples defined on the same attribute subset

$R_x = \{r_i, r_j, \dots, r_k\}$ ,  $R_x \subset R$ . Tuples  $e_a$  and  $e_b$  are said to be a couple of contradictory tuples with respect to the subset of attributes  $R_x$  if and only if  $[((V_{d_v, r_1}, V_{d_w, r_1}), (V_{d_v, r_2}, V_{d_w, r_2}), \dots, (V_{d_v, r_k}, V_{d_w, r_k}))$  are all couples of partially common values) AND  $(f(d_v) \neq f(d_w))$ .

**Definition 8 (attribute basis).** Consider a certain OAT. Let  $R_x = \{r_1, r_2, \dots, r_k\}$ ,  $R_x \subset R$ , be a subset of attributes of the OAT.  $R_x$  is said to be an attribute basis with respect to C if, and only if, there exist no couples of contradictory attribute-value tuples with respect to the subset  $R_x$ .

The concept of attribute basis is fundamental in induction from examples, since the knowledge base is expressed in terms of an attribute basis.

**Example.** Consider the OAT of Figure 2. Let us test whether subset  $\{r_2, r_3\}$  is an attribute basis. According to definition 8,  $\{r_2, r_3\}$  is an attribute basis with respect to C if, and only if, there is no couple of contradictory attribute-value tuples with respect to the subset  $\{r_2, r_3\}$ . Let's check if this statement is true.

Consider tuples  $e_a = \langle V_{d_1, r_2}, V_{d_1, r_3} \rangle$  and  $e_b = \langle V_{d_2, r_2}, V_{d_2, r_3} \rangle$ , whose values in the OAT are  $e_a = \langle \{\text{red}\}, \{1\} \rangle$  and  $e_b = \langle \{\text{green}\}, \{0\} \rangle$ . Reasoning according to definition 7, we see that the couple  $(\{\text{red}\}, \{\text{green}\})$  is not a couple of partially common value. Therefore,  $e_a$  and  $e_b$  are not a pair of contradictory attribute-value tuples, so we cannot claim that  $e_a$  and  $e_b$  are not an attribute base.

Consider now tuples  $e_a = \langle V_{d_1, r_2}, V_{d_1, r_3} \rangle$  and  $e_b = \langle V_{d_6, r_2}, V_{d_6, r_3} \rangle$ , with values  $e_a = \langle \{\text{red}\}, \{1\} \rangle$  and  $e_b = \langle \{\text{red}\}, \{0, 1, 2\} \rangle$  respectively. We have that the couples  $(\{\text{red}\}, \{\text{red}\})$  and  $(\{1\}, \{0, 1, 2\})$  are both couples of partially common values, since  $\{\text{red}\} \cap \{\text{red}\} \neq \emptyset$  and  $\{1\} \cap \{0, 1, 2\} \neq \emptyset$ . Now we have to check the second condition of definition 7. We can see in Figure 1 that  $f(d_1) = \{C_a\}$  and  $f(d_6) = \{C_b\}$ , thus  $f(d_1) \neq f(d_6)$ . We have just shown that  $\{r_2, r_3\}$  is not an attribute basis.



Let us test now whether subset  $\{r_2, r_3, r_4\}$  is an attribute basis.

If we look at all the couples of attribute-value tuples in set  $R_x = \{r_2, r_3, r_4\}$  of the OAT, we see that the only couples of tuples that satisfies the condition « $((V_{dv,ri}, V_{dw,ri}), (V_{dv,rj}, V_{dw,rj}), \dots, (V_{dv,rk}, V_{dw,rk})$  are all couples of partially common values)» from definition 7, is the couple of tuples  $(e_{d_3}, e_{d_6})$ ,  $e_{d_3} = \langle V_{d_3,r_2}, V_{d_3,r_3}, V_{d_3,r_4} \rangle$ ,  $e_{d_6} = \langle V_{d_6,r_2}, V_{d_6,r_3}, V_{d_6,r_4} \rangle$ . No other couple of tuples satisfies the above condition. Therefore, now we only have to verify the second condition of definition 7, « $(f(d_v) \neq f(d_w))$ ». From the OAT it follows that  $f(d_3) = \{C_b\}$  and  $f(d_6) = \{C_b\}$ , hence  $f(d_3) = f(d_6)$ . Thus, it is concluded that  $R_x = \{r_2, r_3, r_4\}$  is an attribute basis.

In this subsection we have described the basic concepts to do with the second general step of the knowledge acquisition device: «reasoning about the resulting set of antecedent-consequent rules, in order to modify the KB, which adopts a decision tree format». This step involves, first, finding an attribute basis that satisfies the given criterion, which depends on the nature of the problem at hand. Second, generate a decision tree from the attribute basis found. However, before proceeding, it is necessary to turn all the input knowledge to the KAD - that is, the examples from the environment and the knowledge base - into the same format. The following subsection describes the basic concepts to do with such a conversion process.

### OBJECT-ATTRIBUTE TABLES AS SETS OF RULES

This section describes the general aspects of the process to turn a knowledge base expressed in a decision tree format into a set of condition-action rules.

Condition-action rules -also named production rules- are one of the most common ways to express the knowledge base of a

reactive agent [9, 10]. The antecedent of the rules is made up of conjunctions of attribute-value pairs, while the consequent consists of a single action - also called concept - which can be triggered under the assumption of the truth of its antecedent. A rule base is a set of rules.

Decision trees constitute an intensive -abstract- format to describe an OAT. Each inner node of a decision tree -also called attribute-node- generated from an OAT represents an attribute, whereas each leaf node -also called concept-node- represents a subset of concepts. Each attribute-node has as many branches as values in the attribute domain associated with the node. A decision tree covers all the examples of the corresponding OAT.

Given a decision tree, each branch from the root of the tree to a leaf node represents a rule. Thus, a decision tree can be represented as a set of rules. Figure 2 illustrates an example.

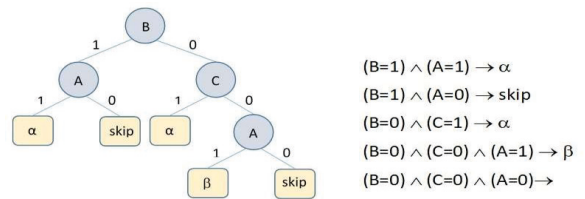


Fig. 3. Decision-Tree as a set of rules.

$\{A, B, C\}$  is the set of attributes of the decision tree in Figure 3 and  $\{\alpha, \beta, \text{skip}\}$  is the set of concepts.

The following definition provides the characteristics that a base of production rules must meet in order to be suitable for applying an inductive inference procedure to it.

Definition 9 (full rules). Let AT be the set of attributes through which the antecedents of all the rules in a production rule base, BC, are described. A rule whose antecedent include all the attributes in the set AT is called a full rule.

## INDUCTIVE INFERENCE ON OATS OF RULES

For example, rules  $(B=1) \wedge (A=1) \rightarrow \alpha$  and  $(B=1) \wedge (A=0) \rightarrow \text{skip}$  in Figure 3 are not full rules, since their antecedent does not contain all the attributes of  $AT=\{A,B,C\}$ . While rule  $(B=0) \wedge (C=0) \wedge (A=1) \rightarrow \beta$  is a full rule.

Let  $V_i$  be the domain of values of the attribute  $r_i$  in a rule base. If a rule is not a full rule, then it can be turned into a full rule. To do this, it is necessary to complete its antecedent by adding to it those attribute-value pairs whose attributes,  $r_i \in AT$ , do not appear in the antecedent of the rule and whose values of said attributes will correspond to the value  $*_{j,k,\dots,p}$ , where  $\{j, k, \dots, p\} = V_i$  is the domain of values of  $r_i$ . For example, from Figure 3 we have that  $V_A = V_B = V_C = \{0,1\}$ . For example, rule  $(B=1) \wedge (A=1) \rightarrow \alpha$  is not a full rule, but it can be turned into the following full rule:  $(B=1) \wedge (A=1) \wedge (C=0,1) \rightarrow \alpha$ . Figure 4 shows the OAT from Figure 3 where all its rules have been turned into full rules.

$(B=1) \wedge (A=1) \rightarrow \alpha$	$(B=1) \wedge (A=1) \wedge (C=*_{0,1}^C) \rightarrow \alpha$
$(B=1) \wedge (A=0) \rightarrow \text{skip}$	$(B=1) \wedge (A=0) \wedge (C=*_{0,1}^C) \rightarrow \text{skip}$
$(B=0) \wedge (C=1) \rightarrow \alpha$	$(B=0) \wedge (C=1) \wedge (A=*_{0,1}^A) \rightarrow \alpha$
$(B=0) \wedge (C=0) \wedge (A=1) \rightarrow \beta$	$(B=0) \wedge (C=0) \wedge (A=1) \rightarrow \beta$
$(B=0) \wedge (C=0) \wedge (A=0) \rightarrow \text{skip}$	$(B=0) \wedge (C=0) \wedge (A=0) \rightarrow \text{skip}$

Fig. 4. Complete rules of an OAT.

An OAT can be considered as a set of full rules. Those OATs resulting from the process of turning not full rules into full rules are, in general, incompletely specified OATs and can be handled by the corresponding inference mechanisms [3, 10].

**Definition 10 (OAT of rules).** An OAT of rules is defined as a structure made up of production rules such that all rules are full rules.

From now on all considered OATs will refer to OATs of rules.

The question at this point is «how to reason about an OAT expressed as a set of rules», that is, an OAT of rules, «another set of rules expressed as a decision tree».

The most common inference from a set of rules is deductive inference, characterized by the search for specific conclusions from assumptions represented through general knowledge. Inductive inference, on the other hand, represents reasoning on specific elements of knowledge in order to obtain general conclusions. Thus, it seems that none of the types of deductive and inductive inference fit the task actually posed at this point; that is, a general knowledge structure - decision tree - must be obtained from another general knowledge structure - rule base. There is little literature about the proposed problem. With the exception of [10], all other references that have been considered are based on points of view very different from those of this work.

The algorithm proposed in [10] allows obtaining a decision tree from an OAT of rules with priorities defined on the rules. The algorithm presented in [2] constitutes the central core of the KAD. This algorithm constitutes an extension of that presented in [10], so that it allows reasoning jointly from an OAT of examples coming from the environment, an OAT of rules coming from the decision tree - which constitutes the knowledge base, KB - and the feedback, in order to improve the decision tree - that is, the knowledge base-.

This algorithm works cyclically, so that each cycle constitutes a learning cycle. The number of learning cycles of the algorithm is unlimited, unless the environment is no longer able to provide new examples and, at the same time, the execution- verification device is also not capable of generating new experiences. As long as only one or both

devices - the environment or the execution-verification device - is still capable of providing new knowledge - examples/experiences - then the algorithm will continue working, since the knowledge base can still be improved. Thus, the algorithm is limited when both the capacities of the environment and those of the execution-verification device are exhausted at the same time.

A detailed analysis of the learning algorithm described in [2] makes it possible to highlight some important properties:

- The algorithm implements a dynamic learning process, which allows, in each learning cycle, to update the current knowledge base based on the generation of new experiences and/or when new examples appear from the environment.
- The learning process of the described model is carried out under the closed domain hypothesis. In this way, the evolution of the knowledge base is based exclusively on the knowledge provided by all the examples of the environment that have appeared up to the current moment. In this way, the learning process updates, cycle by cycle, the knowledge base.
- The sets of attributes and their domains are not necessarily the same in each learning cycle. That is, in a learning cycle new attributes that have not appeared in previous cycles may appear. Similarly, attributes that have appeared in previous cycles may not appear in a learning cycle. This fact opens the door to more powerful inductive learning systems.
- Each learning cycle of the algorithm results in a necessarily non-negative evolution of the knowledge base. The term “non-negative” is used because there is a possibility that the new examples or experiences that appear in a given cycle

do not provide sufficient qualitative knowledge to allow the knowledge base to be improved. However, the knowledge base can never get worse in any of the cycles. This is a natural form of learning.

- The possibility of performing inductive inference on incompletely specified examples is one of the great contributions to learning, and it also facilitates some of the previous properties.
- The activation of a new learning cycle occurs for three reasons: simply due to the appearance of new examples - as described above -; simply by the emergence of new experiences provided by the Action-Decision device; or for both of the above reasons.
- It is possible to provide the learning algorithm with discretization processes of continuous attributes [4] and binarization processes of discrete attributes [17].
- The learning algorithm is clear, simple and well structured, which makes it easy to implement in a programming language.
- This algorithm is not limited in time.
- Some applications of inductive inference in different fields, such as medicine, stock market analysis, disability assistance, and web page classification, are described in [11, 12, 13, 14, 15, 16]. These applications were developed using the UIB-IK inductive platform [8], designed for completely specified OATs, including data of different typologies.

The following section describes the most relevant features of the hypothesis or knowledge base.

## THE HYPOTHESIS OR KNOWLEDGE BASE

The knowledge base adopts a decision tree format that evolves over time. Furthermore, it must be taken into account that the learning process is carried out under the fundamental hypothesis of the closed domain. That is, the learning cycle at the current time must consider only the characteristics of all the examples that have appeared since the first learning cycle. This means that those examples that have not yet appeared in any learning cycle must not be considered in the current decision tree. The following subsections will help us to understand how evolutionary inductive learning can be handled.

## DECISION TREES FOR LEARNING

To update the evolutionary hypothesis/decision tree in the current learning cycle, it is necessary, first of all, to know which examples from the environment have appeared and which have not appeared -missing examples- in previous learning cycles. To do this, a kind of decision trees known as *decision trees for learning* - abbreviated DTL- will be used. Once the decision tree for learning has been generated in the current cycle, the *final decision tree* -abbreviated FDT- is then generated, which exclusively covers examples that have appeared from the first cycle to the current cycle.

As an illustrative example of the characteristics of a DTL, without going into deeper issues, consider the OAT of rules with three rules in Figure 5.

$$\begin{aligned} (A=0) \wedge (B=0) \wedge (C=0) &\rightarrow C1 \\ (A=0) \wedge (B=1) \wedge (C=0) &\rightarrow C2 \\ (A=1) \wedge (B=0) \wedge (C=1) &\rightarrow C3 \end{aligned}$$

Fig. 5. OAT of rules.

Note how the domains of attributes A, B, C in Figure 5 are binary.

First, a decision tree for learning -DTL- inferred from the examples in Figure 5 is generated. This decision tree covers, on the one hand, these examples and, on the other hand, the rest of the possible examples of the domain that do not appear in Figure 5. Such not appeared examples are denoted by the symbol *n.a* -abbreviation of not appeared. Figure 6 shows an example of such a decision tree for learning.

Once the decision tree for learning has been generated in the current cycle, the *final decision tree* is then generated, which exclusively covers examples that have appeared from the first cycle to the current cycle.

Figure 7 shows the final decision tree for the current cycle. This tree satisfies the minimum number of nodes criterion.

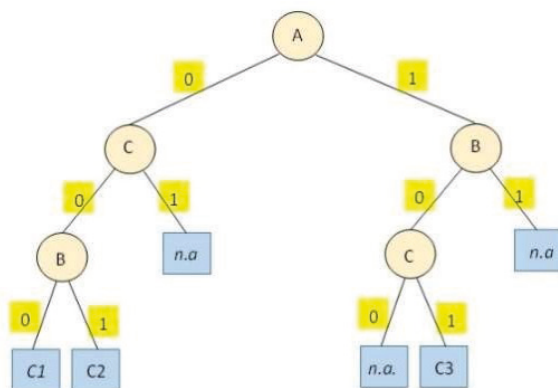


Fig. 6. Decision tree for learning -DTL.

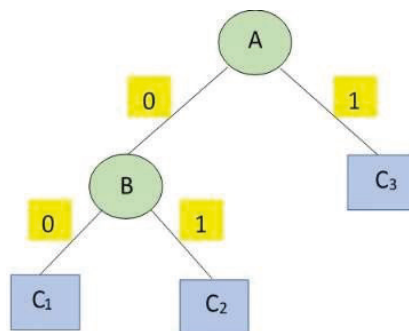


Fig. 7. Final decision tree -FDT.

## LEARNING DECISION TREES

For ease of discussion, only the Feedback 1 Model 1 will be considered at this point. The inferential process in charge of updating the DTL in each learning cycle of a Feedback-1 model is based on the following two knowledge elements:

- The new examples of the OAT of rules that appear in the current learning cycle. These are the new examples coming from the environment in this current learning cycle.
- The current DTL, which corresponds to the DTL generated in the preceding learn- ing cycle.

Note that each of the two previously mentioned elements of knowledge are described through an OAT of rules. Both OATs are then unified into a single OAT of rules, which requires some specific processes that are not described in this work.

In [2] is described algorithm that, on the one hand, unifies both OATs of rules and, on the other hand, updates the current DTL from the resulting unified OAT. Some important properties of this algorithm are highlighted in section 4.3.

## CONSIDERATIONS REGARDING THE FEEDBACK 2 MODEL

Known as experience-driven learning, Feedback\_2 is a feedback model whose function is to update the current Knowledge Base. To do this, it is based on the experiences generated by the Action-Decision Device and, possibly, the appearance of new examples from the Environment.

## EXPERIENCES AS A CONSEQUENCE OF DECISION MAKING

Some general issues related to generating experiences are:

- When and how should experiences be generated?
- Who is responsible for generating them?
- What are the reasons that lead to the need for experiences?
- What kinds of experiences should be considered?
- Do experiences depend on the nature of each particular problem or do they constitute generic patterns for an entire class of problems?
- Is it possible to automate any type of experiences and thus obtain a completely autonomous learning system?
- How to turn the different types of experiences into formal expressions so that they are acceptable to the knowledge acquisition device?

This section proposes a general approach that addresses some of the issues mentioned above.

Looking at the model in Figure 1, the responsibility for generating experiences lies with the *execution-verification device*. Whatever the case, these decisions/actions must first be carried out on the agent's environment and then, they must be evaluated for their success or failure. This evaluation is performed by the *verification device*. Thus, the model in Figure 1 can be extended by that in Figure 8.

The type of experiences of a learning system depends on the nature of the problem for which it was designed. Thus, a single learning system can incorporate experiences of different kinds and sources, such as:

- Experiences guided by the user/expert of the system, who may or may not feel satisfied with the decisions made by the learning system. For example, the user decides that the criterion defining the characteristics that the Hypothesis – Decision Tree– must satisfy, must be modified.
- Experiences generated by the system itself, which can detect certain situations that demand consideration. For example, the system detects that the decision on some examples in the Fact Base is not satisfactory.

- Experiences due to changes in the characteristics of the environment. For example, some attributes become unimportant due to disturbances in the environment. This suggests that the attribute basis on which the Hypothesis is based is unsatisfactory and needs to be modified.
- Etc...

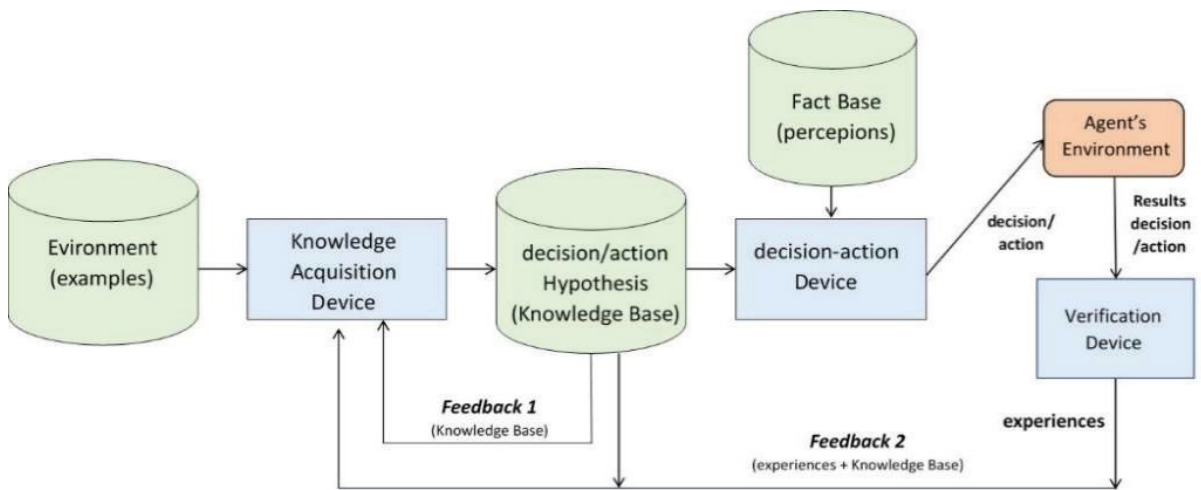


Fig. 8. Autonomous inductive learning model extended with experiences.

In any case, the concept «experiences» can be too complex and excessively broad to be addressed in a unified way. In [2] a set of

possible experiences for inductive learning systems from examples is presented.

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