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# A GUIDE FOR SPECIFYING NONFUNCTIONAL REQUIREMENTS IN AMBIENT ASSISTED LIVING SYSTEMS

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**Abstract:** The increase in life expectancy and the aging of the world's population is a reality every year, thanks to advances in technology in the medical field. Technologies such as Ambient Assisted Living (AAL) can provide services that enable seniors to live independently, safely and healthily. During system development, it is important to ensure a good specification of nonfunctional requirements (NFR). They are requirements that define how the system will behave in certain situations and can impact the final objective of the software if they are not considered during the analysis and development of the project. To meet and identify all needs and functions provided to system users, this article provides a guide for specifying non-functional requirements in Ambient Assisted Living, which helps the requirements engineer to specify the important requirements in the development of this system, capturing the requirements with techniques such as storytelling, reuse and legal requirements. Based on the systematic mapping, the main non-functional requirements for each subd o AAL subdomain were identified. An important finding is that the personal context of older people, legal requirements such as ISO/PRF TS 82304-2 and AAL Guidelines for Ethics, Data Privacy and Security directly affect the specification of non-functional requirements and the design of systems. This guide helps with that mapping, showing the requirements engineer what to consider when designing AAL systems. Specification Non-Functional **Keywords:** Requirements, Ambient Assisted Living, NFR Framework, Medication Assistance, Collaborative Web Tool.

## INTRODUCTION

The world's population is aging rapidly In a report on the aging of the world's population, the United Nations Department of Economic

and Social Affairs (UN) estimates that over the next three decades, the number of people (65 years or older) worldwide will reach 1.5 billion by 20501. According to projections by the Brazilian Institute of Geography and Statistics IBGE2, an estimated 17% of the Brazilian population will be older people as of 2040. From the perspective of technologies aimed at this target group, the concept of Ambient Assisted Living (AAL) has been defined as products and services aimed at creating smart environments for the benefit of this group of people and improving their quality of life, especially for people with chronic diseases and disabilities<sup>3</sup>. Many software systems have already been developed for the older people. However, it is important to note that from the perspective of any system, issues related to modeling and evaluation of quality attributes, legal standards, and personal context must be considered and respected by all parties involved in the development.

From the perspective of older people, technology can be used to automate their daily tasks, contribute to social contact with others, and promote the learning of new knowledge. It is important that an AAL project consider the different perspectives involved in the solution, i.e., end users (patients, their families, and caregivers), clinicians, and developers (requirements and systems engineers)<sup>4</sup>.

From a software perspective, quality attributes or Non-Functional Requirements (NFR) are constraints on the functions and services provided by the system. These can be time, development, process, and standard constraints that apply to the system as a whole<sup>5</sup>. In AAL, NFRs play an important role in solutions for older people because it is the combination of these attributes with the features that make the system attractive, safe, powerful, user-friendly, and easy to learn, all important aspects for older people.

Among NFRs, there are interdependencies where the correct fulfillment of one requirement directly affects the fulfillment of another; conflicts that need to be understood in order to be resolved. Some work shows the impact of NFRs on system development, but in Sittig et al.6 presents challenges in health information technology related to NFR: (1) developing models, methods, and tools that enable risk assessment (NFR: traceability, reliability); (2) developing standard features and functions for user interface design (NFR: usability, satisfaction); and (3) ensuring software security in a network-enabled, networked clinical environment security, privacy).

It is not an easy task to specify and analyze an NFR that takes into account attributes such as legal requirements and the personal context of the older people. Therefore, our article presents a process to guide the requirements engineer in capturing, specifying, and analyzing NFRs in AAL. It is applied to a case study in the subdomain health and care in life, in order to provide an overview of which requirements are most important, which legal requirements should be implemented, and how they affect the system.

The following sections of the article are organized as follows: The section 2 provides the conceptual basis for the development of the paper: Process, Ambient Assisted Living and Storytelling; section 3 introduces the related works and the main differences between them; section 4 presents the guide for specifying non-functional requirements in Ambient Assisted Living; section 5 presents a web tool that supports the proposed process; and finally, section 6 concludes the article and presents suggestions for future work.

## THEORETICAL FOUNDATION

This section introduces important concepts from this article, such as: Framework, Ambient Assisted Living, Health and Care in Life, and Storytelling.

## **PROCESS**

The growing demand for new solutions in the context of AAL requires the development of solutions with a fast and competitive way. One way to meet the production and quality requirements demanded in this context, among other resources, is the use of process. Processes are a set of activities whose goal is to transform inputs (inputs), adding value through procedures, into goods or services (outputs) that must serve customers7, in our case the customers are the requirements engineer. According to Carvalho<sup>8</sup>, processes consist of one or more activities that are controlled by business rules, are triggered by external or internal events in the organization, and end by some kind of event that indicates the achievement of the goal. We will use these definitions to create the RS4AAL.

## AMBIENT ASSISTED LIVING

The concept AAL refers to a range of products, services and systems that aim to support the quality of life and independence of older person and those in need of care<sup>9</sup>. For Almeida<sup>10</sup>, AAL is a critical system, and one of the success factors for these systems is good modeling of NFR<sup>11</sup>. A classification of AAL systems was proposed in the BRAID project<sup>12</sup>, which defines them as four life configurations: Independent Living, Health and Care in Life, Occupation in Life, and Recreation in Life.

 Independent living: assists with activities of daily living, with activities related to monitoring life status and medical reminders. It also provides support for people with reduced

- mobility, such as people who use a crutch, cane, walker and wheelchair;
- Health and Care in Life: Contributes to health-related activities, such as: assistance with physical exercise, remote health monitoring and emergency assistance;
- Occupation in Life: this configuration supports the older people in the continuity of their life and professional activities;
- Recreation in Life: Allow to contribute to the performance and participation of the older people in leisure, cultural and social activities.

Afsarmanesh<sup>13</sup> in his work defines some desired facets that to understand concepts important to development of AAL systems:

- Information-based services, collaborating with older people health care and the relationship of other stakeholders;
- Technology based on a set of sensors that recognize the personal context of the older people with health support;
- Use of advanced devices, instruments and tools to support follow-up interventions and healthcare delivery;
- Healthcare technology support and consumer-facing regulatory infrastructure to support data privacy and standards;
- Influencing appropriately designed home and support system designs, based on the elder's contextual, cognitive, and emotional state, that adapt as they age;

## **STORYTELLING**

Storytelling as a technique has been used for knowledge management<sup>14</sup> and software requirements elicitation<sup>14,15</sup>. Studies in psychology have found that people learn best from stories<sup>16</sup>. In Rinzler<sup>17</sup> points out that listening to a story makes the listener

experience everything he hears as if he were part of the whole plot. The listener can empathize with each event and fill in any details that may have been left out. Furthermore, listeners are able to evaluate and remember each piece of information because it is part of a logical and realistic whole that validates itself with their own experience. Obviously, telling a person's experience and needs in the form of a story is more relaxing and flexible than using standard, ready-made questions to gather requirements. By exploring many useful ways of representing requirements for engineers, existing structured analysis and modern methods have become too abstract and distanced themselves from how people normally learn and communicate. Storytelling is a logical process that everyone understands intuitively. Telling a story about what the system does with an understandable narrative is more engaging and leads directly to an improvement in the process of gathering information and structuring requirements<sup>17</sup>.

To better understand this proposal, the next section presents the main works related to this research and the main differences between them.

## **RELATED WORKS**

The NFR Framework was proposed by Mylopoulos, Chung, and Nixon<sup>18</sup> and was implemented by Chung et al.<sup>19</sup> with emphasis on NFR modeling and analysis. The NFR Framework is intended for general use, but for use in AAL it's possible to add extensions for use in AAL: (i) a requirements elicitation step where the context of use (use scenarios based on your routine) and user preferences can be captured; (ii) in the "identify specific NFRs" step, support for other artifacts such as legal requirements, taxonomies or ontologies; (iii) how to specify the representation of scenarios (soft-goals and operations) of the SIG tree that can be affected by the context

of use and user preferences. (iv) How the catalog can be supported to enable reuse of other artifacts, e.g..: Storytelling, legal requirements, hardware specifications, and development platforms.

Numerous research papers have been published addressing the state of the art of domain AAL and NFR modeling for AAL. The authors in Calvaresi et al.<sup>4</sup>, for example, present a goal-oriented requirements engineering approach (GORE) for mapping needs and requirements to the domain AAL, focusing on defining an architecture for a home care system.

In Amina el al.<sup>20</sup>, the authors focus on the study of AAL system requirements and implementation challenges, and definitions, requirements, and specifications of reference models and reference architectures in AAL. In all these works, no process could be found to support the requirements engineer in capturing and modeling system requirements for AAL.

Based on the related works and their improvement points, a process specifying and analyzing Non-Functional Requirements in Ambient Assisted Living is presented in the next section.

## SPECIFYING AND ANALYZING NON-FUNCTIONAL REQUIREMENTS IN AMBIENT ASSISTED LIVING

The Figure 1 presents the overview of RS4AAL: Requirements Specification for Ambient Assisted Living<sup>21</sup> with two layers: RS4AAL and NFR Framework. The RS4AAL layer consists of two subprocesses (Elicit Requirements with Storytelling and SIG Tree View), one process (Associate User Preferences), and the AAL repository. RS4AAL starts with the initial requirements elicitation with storytelling; it is a sub-process with steps for eliciting non-functional requirements and personal context<sup>22</sup>.

The results of this sub-process are the artifacts: NFR, storytelling, and personal context. These artifacts are used as input for other steps. The "Associate Context of Use" process examines the influence of the context and preferences of the older people on the requirements so that the SIG tree can be dynamically designed according to the needs of the older people. The subprocess "Visualize SIG Tree" aims to create SIG scenarios with colorful visualization so that the requirements engineer and the developer can visually understand that the system is dynamic according to the context of use of the older people. Finally, there is the AAL repository, a database that stores SIG trees and other artifacts that contribute to development with reuse, such as storytelling, NFR, contexts of use, taxonomies, and legal requirements. All items added to the repository are classified by life setting, category, and subcategory of AAL, which facilitates the reuse of these items for the domain (vertical and horizontal reuse)23.

In the NFR Framework Layer, Chung<sup>19</sup> lists several steps ("Acquire or Access Knowledge", "Identify Particular NFR for the Domain", "Decompose NFR", "Identify Operations", "Dealing with: ambiguities, trendoffs and others", "Support decisions with design logic") of your process that are not necessarily sequential and may need to be iterated several times during the design process. RS4AAL updates Chung's proposed process by replacing the "Acquire or Access Knowledge" process with "Elicit Requirements with Storytelling" and started the "Identify Particular NFR for the Domain" process to obtain more information generated from the AAL repository that contains information needed for an initial project setup, as explained in the next sections.

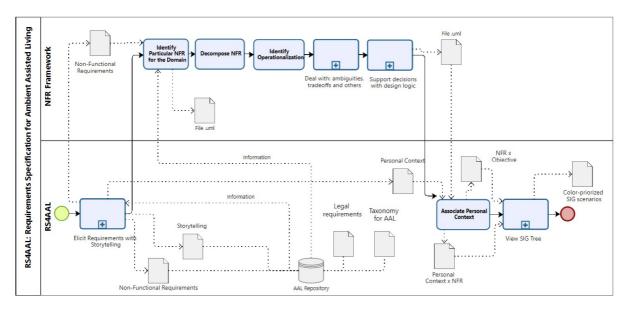


Figure 1. Overview of the RS4ALL Process.

## ELICIT REQUIREMENTS WITH STORYTELLING

In this subprocess, the narrative<sup>15</sup> is used to capture the functional requirements, NFR, context of use, and cultural aspects of older people, important information for proper understanding of the system being developed. Once captured, RS4AAL has a database that relates this information and proposes new artifacts with their respective relationships. In this work, the artifacts generated by RS4AAL are: functional requirements, NFR, context of use, legal requirements, taxonomy, domain and AAL subdomain.

Each of the identified artifacts plays an important role in the following processes. For example, the functional requirements are the input for the developer to identify the programming language, database, and architecture that can be used in the project. The NFRs are the input to the "identify particular NFR for the domain" process, which must suggest to the requirements engineer with access to the repository AAL other artifacts related to the system, such as specific legal requirements for life settings.

To support the identification of NFRs from storytelling, RS4AAL has a database of these artifacts and defines steps to capture them manually or by using machine learning techniques<sup>24,25</sup> to classify NFRs.

## IDENTIFY PARTICULAR NFR FOR THE DOMAIN

In the systematic mapping of Garcés et al.<sup>26</sup>, a taxonomy for AAL systems was presented, based on ISO /IEC 25010. In the mapping of Junior et al.<sup>27</sup>, this taxonomy was updated with new requirements, as can be seen in Figure 2. Based on this work, it was possible to identify the most relevant NFRs for each AAL life setting and category.

Since the previous step also captured the subdomain AAL, in addition to the taxonomy, RS4ALL will propose the legal requirements that will help identify new requirements, e.g., the legal requirement "AAL Guidelines for Ethics, Data Privacy and Security" is intended for all researchers, developers, primary and secondary end users in the domain AAL. This document provides considerations on how to create ethical

excellence for solutions aimed at active and healthy aging through digital technologies.

As part of RS4AAL, this document was mapped to and related to the NFR, resulting in the creation of SIG trees.

## ASSOCIATE PERSONAL CONTEXT

Each older person has different preferences and contexts, which may depend on the community in which they live, their region, and the time it takes them to get used to their routine<sup>22</sup>. Here we use an approach in which a set of pairs is formed for each NFR and assigned a weight w (a real number in the interval [0,1])<sup>29</sup>. Each pair indicates the prioritization (w) of the NFR. A value of 0 represents minimum relevance, while a value of 1 represents maximum relevance. It is important to note that the weighting for each NFR should be mutually exclusive.

### **VIEW SIG TREE**

This process explores how user preferences across NFRs can be represented in a SIG tree. Based on the process described above, the RS4AAL has the weights that each NFR can have based on personal preferences. Since the preferences of older people can change depending on their needs, a set of SIG's is created to provide guidance to the requirements engineer on the possible scenarios that the SIG tree can take. To create these scenarios, the visual representation color-based prioritization method of described by Pimentel<sup>30</sup> be used, which aims to minimize the cognitive effort required for prioritization analysis. Basically, each goal is given a color-coded label to visually indicate that this goal may have minimum, medium, or maximum importance in this particular depending on the weighting scenario, determined in the previous process.

In the Figure 3, you can see that for this scenario, measuring the positioning of older people has the most importance (red color), Wi-Fi has medium importance (yellow color), and BLE or RFI has minimal importance (gray color). The tool helps the requirements engineer adjust the colors as needed.

To facilitate the use of this process, the next section presents a web tool that supports the requirements engineer at each stage of development.

## **RS4AAL TOOL**

To support the implementation of the RS4AAL process, a collaborative web tool31 was developed to support the requirements engineer in all phases of the process. In Figure 4, the information available in the repository models, storytelling) (requirements, presented on the initial page. The tool was developed using PHP programming language and MySQL database and runs on AWS infrastructure. The tool also has a REST 1 service online<sup>32</sup> to facilitate integration with other tools that want to access the artifacts. This access is possible via a token generated when the tool is registered.

In Figure 5 you can check a storytelling list with the respective life settings. Looking at the details, you can see the functional requirements, NFR, and personal context (Figure 6). Figure 7 displays the list of nonfunctional requirements available in the tool. For each NFR, the following information can be retrieved: Description, Quality Model (product or use), Characteristics or subcharacteristics, Origin, and to which life settings the NFR applies (this information can also be viewed under the Taxonomy menu item).

The Figure 8 presents the AAL taxonomy, with a list of life settings and their respective NFR. The RS4AAL tools present a set of NFRs

<sup>1.</sup> REST: REpresentational State Transfer <sup>2</sup>XML: eXtensible Markup Language

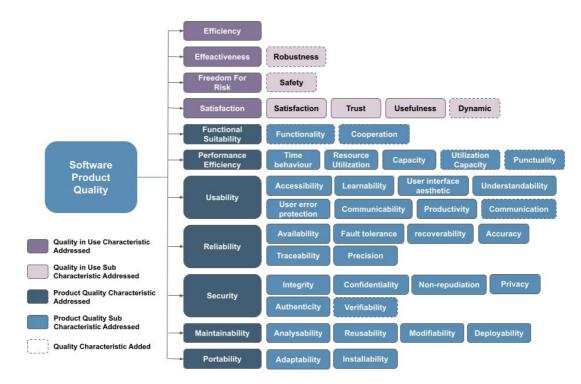


Figure 2. Overview of the NFR grouping analysis from ISO/IEC 25010.

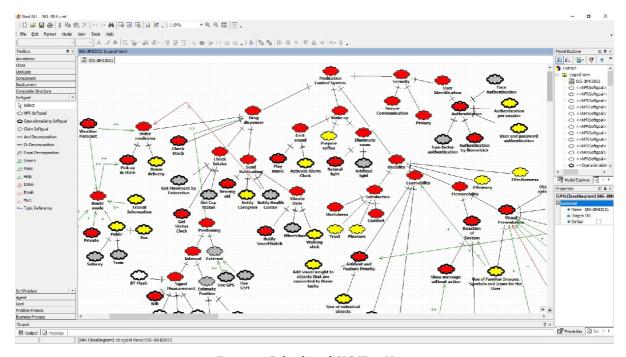


Figure 3. Color-based SIG Tree View

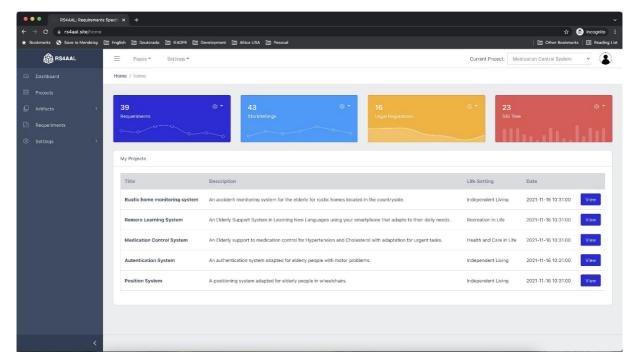


Figure 4. Main Screen of the RS4AAL Tool.

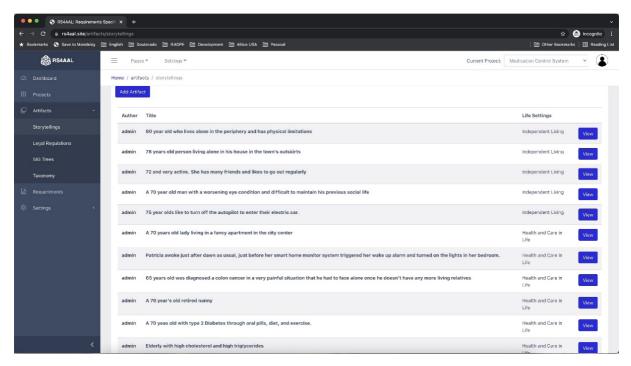


Figure 5. List of Artifacts of the RS4AAL Tool.

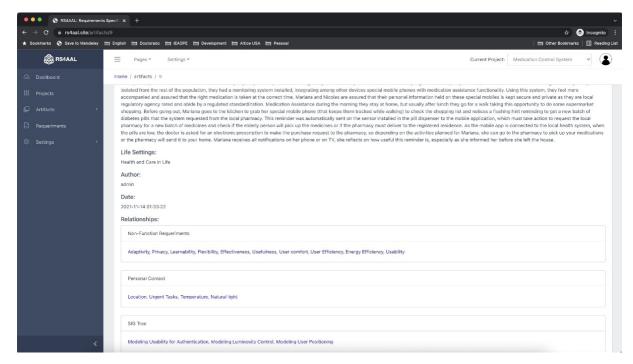


Figure 6. Show Artifacts with their Relations.

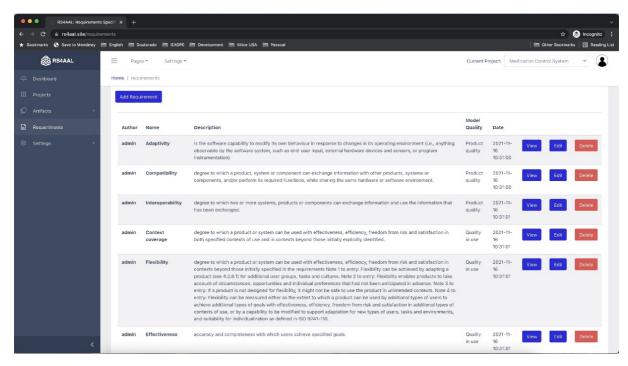


Figure 7. List of Non-Functional Requirements of the RS4AAL Tool.

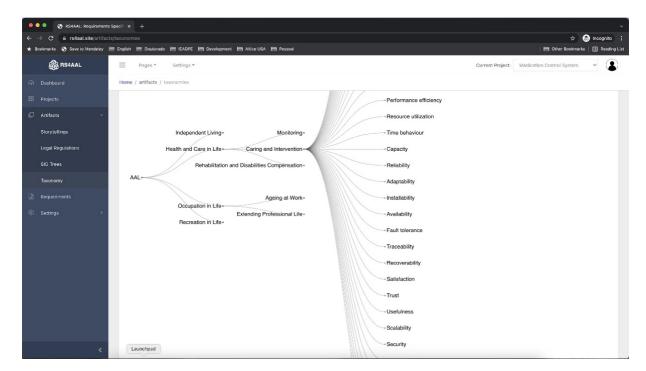


Figure 8. AAL Taxonomy Tree.

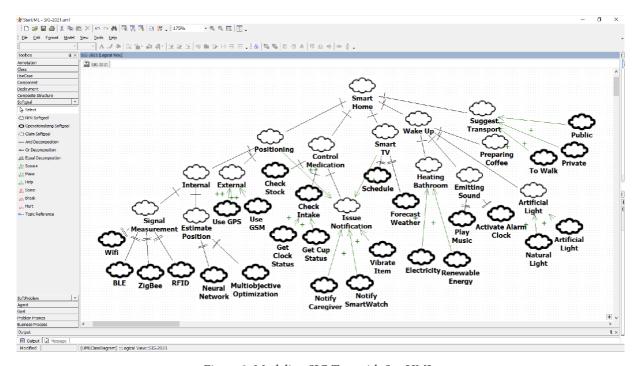


Figure 9. Modeling SIG Tree with StarUML.

to consider for the project. In addition to the taxonomy, the tool also includes a set of legal requirements that relate to the AAL life settings. Each legal requirement is linked to an NFR and in some cases to generic sections of the SIG tree.

As a result of this process, a file XML<sup>2</sup> with extension .uml is generated containing the SIG tree with the NFR identified in the previous steps, which is imported into the StarUML tool version 5.0.2.1570 that supports modeling of the SIG tree, as shown in Figure 9.

## **AAL REPOSITORY**

The RS4AAL process has a repository that contains the NFR framework catalogs and other artifacts that support the requirements engineer in creating systems AAL. Each artifact is registered in the repository and associated with information: life settings, source, registration date, update date, and their relationships. The repository has a database and a service layer REST<sup>32</sup>, making it technology independent.

## CONCLUSION AND FUTURE WORK

This paper presents a process for the specification and analysis of Non-Functional Requirements in Ambient Assisted Living Systems, supported by the RS4AAL tool. This is an environment where the requirements engineer can find guidance for specifying and analyzing requirements (functional and non-functional) for Ambient Assisted Living based on personal context, taxonomy, and legal requirements. The tool also supports the requirements engineer in mapping NFRs from storytelling, where this process can replace the "acquire or access knowledge" process of the NFR framework. Another important contribution was the "Identify Particular NFR for the Domain" process, which now receives artifacts from the AAL repository to assist the requirements engineer in discovering new requirements through taxonomy and legal requirements. The RS4AAL process proposed an extension to the SIG tree using a color structure to identify operations based on how their behavior matches the preferences and current context of the older people.

The RS4AAL process presented in this article is intended to guide the requirements engineer in specifying requirements (functional and non-functional) for Ambient Assisted Living systems based on personal context, taxonomy, and legal requirements. The following aspects are the main contributions of this study:

- 1. A Process to guide the requirements engineer in specifying non-functional system requirements in AAL and its subdomains;
- 2. A guide to consider personal context and reuse (vertical and horizontal) in specifying system requirements in AAL;
- 3. A guide to development based on legal requirements, taxonomy, and ontologies; 4. A guide to capturing system requirements and personal context using storytelling;
- 5. A SIG tree view to adapt to user preferences and current context.
- 6. A guide to support taxonomies and legal requirements in the process of NFR-specific identification in the NFR Framework.
- 7. An AAL repository to support the NFR Framework catalog and other artifacts: storytelling, legal requirements, and taxonomies.

## **FUTURE WORK**

As future work, it can be highlighted:

1. Support for SIG tree modeling within the RS4ALL tool;

- 2. Evaluation of the use of the process in other life domains of AAL;
- 3. Validation of the RS4ALL tool based on usage and perceived usage in collaboration with industry;
- 4. Validation of other algorithms to automatically classify requirements that are not required.

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